



COMMUNICATION ON ENGAGEMENT (COE)

INSTITUTO COMPLIANCE RIO – ICRIO

Period covered by this Communication on Engagement:

From: April 2024 To: August 2024

Part I. Statement of Continued Support by the Chief Executive

Rio de Janeiro, August 8th, 2024

To our stakeholders:

I am pleased to confirm that Instituto Compliance Rio - ICRio reaffirms its support to the United Nations Global Compact and its Ten Principles in the areas of Human Rights, Labour, Environment and Anti-Corruption. This is our Communication on Engagement with the United Nations Global Compact. We welcome feedback on its contents.

In this Communication of Engagement, we describe the actions that our organization has taken to support the UN Global Compact and its Principles as suggested for an organization like ours. We also commit to sharing this information with our stakeholders using our primary channels of communication.

Sincerely yours,


Tereza Cristina de A. M. Gorito
President

Part II. Description of Actions

ICRio – Rio Compliance Institute is a non-profit, independent and non-partisan/nonpolitical civil association, which was born from the periodic meetings held, since 2016, by a group named at that time " Compliance Rio", composed of self-employed professionals, representatives of public and private companies and third sector entities, members of (different) academia(s) and others interested in contributing in an organized and sustained way to the improve corporate practices, business environment and the ethical standard in public-private relations.

ICRio members believe that it is possible to foster a culture of integrity and governance in our state and in our country, through the free debate of ideas and the production of knowledge and practical solutions to face current challenges and prepare for the future, to correct or change the course of our destiny and our society.

Based on this belief, ICRio encourages debate among the various sectors of organized civil society, the business community and governments, in the search for solutions built and agreed upon through dialogue, respect for contrary opinions and encouragement of the social participation of all interested parties in the search for a more ethical, fairer and more developed society.

ICRio is part of the UN Global Compact and supports the respective sustainable development goals.

Over the years, several activities have been performed carried out, such as those carried out by the Working Groups, publications (including those of ICRio Journals available at ICRio's website), a vast number of events/lectures/webinars, participation of our officers and members in academic activities, interaction with the government with regard to compliance standards rules to be enacted (including participation in public hearings with the Legislative Assembly of the State of Rio de Janeiro and in the Federal Senate), the Transparency Internacional, among others.

Participation in ICRio is also considered part of the social action element of integrity/compliance programs.

More information can be found on <https://icrio.org/> website.

Part III. Measurement of Outcomes

New Officers were elected and took office in April 2024 and kept the same drive to take actions accordingly the UN Global Compact and its Ten Principles. Right after such election, we discussed the scenario, the retrospective and the future plans and ways to manage the ICrio always bearing in mind and committed with the Sustainable Development Goals (SDGs) and measures to reinforce and promote them.

We started with a Seminar coordinated by our Sport and ESG (Environmental, Social and Governance) working groups covering compliance in sports and the fight against racism. The in-person event was free, open to the public, included voluntary food donations to a charitable organization and its content will be temporarily available on the internet to the public and subsequently available to ICRio's associates only.

In July, a virtual event named "Compliance, Sustainability and Technology: see Aranduland (3D city) and its SDGs" was held and the content will be available on the internet for an indefinite period. The main focus was to present an award-winning initiative called Aranduland, which is an innovative virtual space that combines knowledge, exposure of actions and government and business projects in a gamified, high-tech environment that among other aspects foster the dissemination of concepts and applications of sustainability and Sustainable Development Goals (SDGs), validating the changes defined by the Rio+20 Summit.

On August 14th, ICRio participated in the panel promoted by the UN Global Compact - Brazil at Rio Innovation Week to discuss the topic "Artificial Intelligence - Ally or Enemy in the Fight against Corruption."

At the end of August, an event will be held coordinated by our ESG working group covering the climate, environmental, social and financial pillars.

We intend to hold a workshop later this year dealing with the practical use of technology in compliance, using the bias and possibility of innovation in ESG as an example.

We have scheduled for October our famous and already traditional end-of-year Seminar, which once again will be held and has the support of the National Confederation of Commerce (Fecomercio RJ). At the end of last year, such event was held from 8:30 to 18:45 with the following panels: (i) best ESG practices at Fecomercio, (ii) impact of Artificial Intelligence on Compliance, (iii) ESG practices that add value, (iv) compliance in public concessions and (v) public companies, compliance and economic development.

As part of our social volunteering, we help an NGO called Instituto Amparo ao Próximo which helps homeless people, runs baking courses for socially vulnerable people, among other charitable initiatives.

We have working groups that debate different subjects and have different scopes and purposes, such as ESG, Technology, Sports, risk management.

We have already announced the public call for the publication of our Magazine at the end of the year containing articles with different contents and this year we will have more news.

We use our media to publicize ethical compliance measures and also contribute to promoting the SDGs.

Therefore, we had provided to our associates and partners information regarding SDGs and its impact in the Brazilian society.

We had also participated in Working Groups of the Global Compact Network Brazil (Rede Brasil do Pacto Global).

These are some of our activities and we do believe that collective actions as ICRio can make difference providing information regarding the Ten Principles and the SDGs.

At the end of the year we will hold the Officers' end-of-year meeting to define some events and initiatives for 2025.